

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Akira ISHIDAApplication No./Patent No.: 09/781,585 Filed/Issue Date: February 13, 2001Titled: RADIO BASE STATION AND MOBILE STATION

KYOCERA CORPORATION, a corporation  
 (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title, and interest in  
 (The extent (by percentage) of its ownership interest is \_\_\_\_\_ %); or
3. ☒ an assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made) the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

**OR**

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Akira ISHIDA To: SANYO ELECTRIC CO., LTD.  
 The document was recorded in the United States Patent and Trademark Office at  
 Reel 011586, Frame 0217, or for which a copy thereof is attached.
2. From: SANYO ELECTRIC CO., LTD. To: KYOCERA CORPORATION  
 The document was recorded in the United States Patent and Trademark Office at  
 Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
 The document was recorded in the United States Patent and Trademark Office at  
 Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/Michael P. Stanley/  
 Signature

March 5, 2009  
 Date

Michael P. Stanley  
 Printed or Typed Name

Attorney for Assignee  
 Title

PRIVILEGED AND CONFIDENTIAL

ADDENDUM

This Addendum to the Definitive Agreement dated January 21th, 2008 is made and entered into this 1<sup>st</sup> day of November, 2008 by and between Kyocera Corporation ("Kyocera"), a Japanese corporation, with a place of business at 6 Takeda Tobadono-cho, Fushimi-ku, Kyoto, Japan 612-8501, and Sanyo Electric Co., Ltd ("Sanyo"), a Japanese corporation, with a place of business at 5-5, Keihan-hondori 2-chome, Moriguchi City, Osaka 570-8677, Osaka, Japan, with Kyocera and Sanyo each being a "Party," and together the "Parties").

RECITALS

WHEREAS, Kyocera and Sanyo are Parties to the Definitive Agreement dated January 21th, 2008 (the "DA"), pursuant to which Kyocera purchased certain assets of Sanyo, including all right, title and interest to the Japanese patents or patent applications described in Appendix 5.1(4.7(1)~(8)) attached to the DA.

WHEREAS, the Parties wish to clarify which corresponding United States patent applications and/or patents have been transferred from Sanyo to Kyocera as a result of the DA;

Now, Therefore, in consideration of the foregoing and the terms of this Addendum and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Parties hereby agree as follows:

Pursuant to the DA, Sanyo agrees that it has assigned and hereby does assign all right, title and interest to the United States patent applications listed in Appendix A attached hereto, and any Letters Patents granted or which may be granted therefrom, and all divisions, reissues, continuations, continuation-in-parts and extensions thereof, the said interest being the entire ownership of said patent applications and any Letters Patents when granted to be held by Kyocera, its successors, assigns or their legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by Sanyo if this assignment had not been made.

PRIVILEGED AND CONFIDENTIAL

Dated: December 26, 2008

Party: Kyocera Corporation

By: K. Minami

Keijiro Minami, Senior Executive Officer.

Dated: December 25, 2008

Party: Sanyo Electric Co., Ltd.

By: Shigeharu Yoshii

Shigeharu Yoshii, General Counsel

# Appendix A

No.	Application Number	Filing Date
1	09/582874	12/28/99
2	09/781585	2/13/01
3	10/282366	10/29/02
4	10/362648	8/27/01
5	10/474015	8/1/02
6	10/482263	2/27/02
7	10/483032	7/8/02
8	10/498012	3/4/02
9	10/504323	3/18/03
10	10/504324	1/24/03
11	10/507043	2/24/03
12	10/508019	1/27/03
13	10/523341	8/6/03
14	10/528019	3/16/05
15	10/528097	9/1/03
16	10/528108	3/16/05
17	10/528340	9/1/03
18	10/529399	9/26/03
19	10/532337	9/3/03
20	10/534712	11/12/03
21	10/535193	11/12/03
22	10/536836	8/31/05
23	10/549803	2/12/04
24	10/547546	3/1/04
25	10/549303	3/11/04
26	10/549304	2/23/04
27	10/553732	4/21/04
28	10/554863	5/17/05
29	10/558019	3/19/04
30	10/559283	5/28/04
31	10/562912	6/30/04
32	10/564361	7/16/04
33	10/564636	7/20/04
34	10/566817	7/30/04
35	10/569975	8/23/04
36	10/576464	10/19/04
37	10/577764	10/21/04
38	10/577766	10/12/04
39	10/578346	11/5/04
40	10/578676	11/26/04
41	10/578882	11/4/04
42	10/579447	11/24/04
43	10/580316	11/26/04
44	10/581319	11/29/04
45	10/585300	12/15/04
46	10/588236	1/20/05
47	10/590015	2/25/05
48	10/598677	3/7/05
49	10/646922	8/25/03
50	10/699537	10/21/03
51	10/714921	11/18/03
52	10/735619	12/16/03
53	10/745144	12/23/03
54	10/779622	2/18/04
55	10/793679	3/5/04
56	10/797328	3/9/04
57	10/807178	3/24/04

58	10/842477	5/11/04
59	10/849214	5/20/04
60	10/858222	6/1/04
61	10/866809	6/15/04
62	10/573805	10/1/04
63	10/895725	7/21/04
64	10/981471	11/5/04
65	10/985810	11/9/04
66	11/007292	12/9/04
67	11/078705	3/14/05
68	11/087702	3/24/05
69	11/091703	3/29/05
70	11/110749	4/21/05
71	11/115404	4/27/05
72	11/141016	6/1/05
73	11/155994	6/17/05
74	11/169755	6/30/05
75	11/185866	7/21/05
76	11/193386	8/1/05
77	11/261786	10/31/05
78	11/261930	10/31/05
79	11/260279	11/4/05
80	11/277807	3/29/06
81	11/314571	12/20/05
82	11/325417	11/30/98
83	11/376567	3/16/06
84	11/389019	3/27/06
85	11/392663	3/30/06
86	11/451060	6/12/06
87	11/455670	6/20/06
88	11/471489	6/21/06
89	11/482743	7/10/06
90	11/492048	7/25/08
91	11/504046	8/15/06
92	11/524175	9/20/06
93	11/526817	9/26/06
94	11/543225	10/5/06
95	11/546412	10/12/06
96	11/578802	4/26/05
97	11/579486	5/10/05
98	11/580397	10/13/06
99	11/596411	4/28/05
100	11/597019	5/16/05
101	11/597020	5/16/05
102	11/630800	5/16/05
103	11/631409	7/5/05
104	11/634889	12/7/06
105	11/635049	12/7/06
106	11/667773	11/8/05
107	11/727220	3/26/07
108	11/729976	3/30/07
109	11/730036	3/29/07
110	11/781754	6/12/07
111	11/791955	11/24/05
112	11/808051	5/6/07
113	11/815908	2/8/06
114	11/822893	7/11/07
115	11/843203	8/22/07
116	11/844948	8/24/07
117	11/861602	9/26/07
118	11/865932	10/2/07
119	11/908190	2/23/06

120	11/919970	5/18/06
121	11/923981	16/25/07
122	11/937116	11/8/07
123	11/939042	11/13/07
124	11/942345	11/19/07
125	11/953393	12/10/07
126	11/957822	12/17/07
127	11/960130	12/19/07
128	11/976242	10/23/07
129	11/996012	7/19/06
130	12/007868	1/16/08
131	12/044599	3/7/08
132	12/055853	3/26/08
133	12/058963	3/31/08
134	12/059204	3/31/08
135	12/059251	3/31/08
136	12/059254	3/31/08
137	12/059691	3/31/08
138	12/059697	3/31/08
139	12/059737	3/31/08
140	12/059866	3/31/08
141	12/063128	2/7/08
142	12/066464	3/11/08
143	12/071986	2/28/08
144	12/078292	3/28/08
145	12/089952	11/27/06
146	12/091111	4/22/08
147	10/450713	6/24/03
148	10/720107	11/25/03
149	11/790846	4/30/07